



INSURANCE FRAUD INVESTIGATION DIVISION
Kentucky Department of Insurance
909 Leawood Drive, P. O. Box 4050, Frankfort, KY 40604-4050
Phone 502-564-1461 – FAX 502-564-1464
Fraud Statistics/Convictions Activity
December 2015

<u>Fraud Statistics</u>	<u>Month/December</u>	<u>YTD</u>
Total Referrals Received.....	165	1,870
Closed Referrals.....	94	1,724
Open Cases (Investigations – includes prior years).....		369
Assigned Cases (Investigations).....	10	107
Closed Cases (Investigations).....		139
Closed Cases (Investigations) Exceptionally Cleared – Declined by Prosecutor.....		3
Charges (3 felony, 0 misdemeanor)	3	86
Convictions (3 felony, 0 misdemeanor)	3	104
Restitution Ordered.....	\$ 44,062.24	284,372.55
Restitution Agreed.....	\$.00	85,390.41
Restitution Total.....	\$ 44,062.24	369,762.96
Investigation Expenses to Division		
Awarded.....	\$.00	10,597.86
Collected.....	\$ 150.00	11,906.58
DOI Fines.....	\$.00	1,000.00
Administrative Subpoenas Issued.....	3	19
Non-Licensed Individuals.....	3	19
Licensed (active/inactive individuals).....		
Subpoenas Received.....		12

Convictions Activity

David Jackson – Jackson submitted applications for different individuals without their knowledge. He also was misrepresenting supplemental insurance policies as major medical health insurance to customers. Jackson pleaded guilty to mail fraud and was placed on probation for three years and ordered to pay restitution of \$25,526.61.

Sirish Patel – Patel submitted a claim for payment for roof damage knowing that it contained false information. Patel was convicted of two counts of fraudulent insurance acts greater than \$500. Patel was sentenced to one year on each count to run concurrently. The sentence was diverted for one year with the stipulation that restitution of \$18,535.63 be paid in full.